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| FORM PTO-390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE<br>(REV. 2-2005)   |   | ATTORNEY'S DOCKET NUMBER<br><b>MUI-0008</b>                             |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>         DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>         CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>  |   | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br>NYA <b>10/538227</b> |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/KR2005/001442</b>  | INTERNATIONAL FILING DATE<br><b>5/17/2005</b> | PRIORITY DATE CLAIMED<br><b>5/17/2004, 5/17/2005</b>                    |
| TITLE OF INVENTION<br><b>ELECTRODE, AND METHOD FOR PREPARING THE SAME</b>  |   |   |
| APPLICANT(S) FOR DO/EO/US<br><b>Klaus Werner LEITNER, Juergen Otto BESENHARD, Kai-Christian MOELLER, Martin WINTER, Ki-Young LEE, Seong-Yong PARK, Joong-Hee HAN, Robert Bernhard GOLLAS</b>   |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |   |
| <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))           <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ol> </li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))           <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> <li>11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li>12. <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> </ol> |   |   |
| <b>Items 13 to 23 below concern document(s) or information included:</b>   |   |   |
| <ol style="list-style-type: none"> <li>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</li> <li>16. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>17. <input type="checkbox"/> A substitute specification.</li> <li>18. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</li> <li>20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</li> <li>21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).</li> <li>22. <input checked="" type="checkbox"/> Express Mail Label No. <b>EV519659685US</b></li> <li>23. <input checked="" type="checkbox"/> Other items or information:<br/> <div style="margin-left: 20px;"> <b>Claim for Priority<br/>           Limited Recognition Under 37 C.F.R. Sec. 11.9(b)</b> </div> </li> </ol>   |   |   |

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.51)<br><b>10/538227</b><br>NYA   |              | INTERNATIONAL APPLICATION NO.<br><b>PCT/KR2005/001442</b>              |      | ATTORNEY'S DOCKET NUMBER<br><b>MUI-0008</b> |               |
| The following fees are submitted:   |              |  |      | CALCULATIONS PTO USE                        |               |
| 24. <input checked="" type="checkbox"/> Basic national fee . . . . .  |              |  |      | \$300                                       | \$ \$300.00   |
| 25. <input checked="" type="checkbox"/> Examination fee<br>If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). . . . .  |              |  |      | \$100                                       | \$ \$200.00   |
| All other situations. . . . .   |              |  |      | \$200                                       |               |
| 26. <input checked="" type="checkbox"/> Search fee . . . . .  |              |  |      |   |               |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority . . . . .   |              |  |      | \$100                                       |               |
| International Search Report prepared and provided to the Office . . . . .   |              |  |      | \$400                                       |               |
| All other situations. . . . .   |              |  |      | \$500                                       | \$ \$500.00   |
| <b>TOTAL OF 24, 25 and 26 =</b>   |              |  |      |   | \$ \$1,000.00 |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  |              |  |      |   |               |
| Total Sheets  | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole) |      | RATE  |               |
| 25 - 100 =  | 0 /50 =      | 0  |      | x \$250.00                                  | \$ \$0.00     |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).   |              |  |      |   | \$            |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA   | RATE |   |               |
| Total claims  | 22 - 20 =    | 2  | x    | \$50.00                                     | \$ \$100.00   |
| Independent claims  | 2 - 3 =      | 0  | x    | \$200.00                                    | \$ \$0.00     |
| MULTIPLE DEPENDENT CLAIMS (if applicable) <input type="checkbox"/> + \$360.00   |              |  |      |   | \$ \$0.00     |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |  |      |   | \$ \$1,100.00 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.  |              |  |      |   |               |
| <b>SUBTOTAL =</b>   |              |  |      |   | \$ \$1,100.00 |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |              |  |      |   | \$ \$0.00     |
| <b>TOTAL NATIONAL FEE =</b>   |              |  |      |   | \$ \$1,100.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +   |              |  |      |   | \$ \$0.00     |
| <b>TOTAL FEES ENCLOSED =</b>  |              |  |      |   | \$ \$1,100.00 |
|   |              |  |      | Amount to be                                | \$            |
|   |              |  |      | Amount to be                                | \$            |
| <p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>06-1130</u> in the amount of <u>\$1,100.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1130</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p> |              |  |      |   |               |
| <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p>Cantor Colburn LLP<br/>55 Griffin Road South<br/>Bloomfield, CT 06002<br/>Telephone No. 860-286-2929/Facsimile No. 860-286-0115<br/>Dated: June 8, 2005</p>  |              |  |      |   |               |
|   |              |  |      | SIGNATURE                                   |               |
|   |              |  |      | Soonja BAE                                  |               |
|   |              |  |      | NAME  |               |
|   |              |  |      | PLEASE SEE ATTACHED                         |               |
|   |              |  |      | REGISTRATION NUMBER                         |               |

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): **Klaus Werner LEITNER, et al.**Docket No.  
**10/538221**  
MUT-0008

JC17 Rec'd PCT/PTO 08 JUN 2005

|                               |                                |                        |                              |                              |
|-------------------------------|--------------------------------|------------------------|------------------------------|------------------------------|
| Application No.<br><b>NYA</b> | Filing Date<br><b>6/8/2005</b> | Examiner<br><b>NYA</b> | Customer No.<br><b>23413</b> | Group Art Unit<br><b>NYA</b> |
|-------------------------------|--------------------------------|------------------------|------------------------------|------------------------------|

Invention: **ELECTRODE, AND METHOD FOR PREPARING THE SAME**

I hereby certify that the following correspondence:

**Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371; PCT Application; Drawings; Executed Combined Declaration and Power of Attorney; Recordation Form Cover Sheet w/Assignment; Claim for Priority; Limited Recognition Under 37 C.F.R. §11.9(b); Authorization to debit and/or credit Deposit Acct. No. 06-1130-Filing Fee-\$1,100.00, Recordation Fee-\$40.00 and Postcard receipt**

*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

June 8, 2005*(Date)*Paula Currie*(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)*EV519659685US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**